

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Adel Farhan Halasa et al
For: SYNTHESIS OF SOLUBLE
FUNCTIONALIZED LITHIUM INITIATORS
Serial No.: 10/713,122
Filed: November 14, 2003

) Docket No. DN2001-159D01
) Art Unit: 1714
) Examiner:

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) I hereby certify that this correspondence is being facsimile
) transmitted to the United States Patent and Trademark
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2005.

Mary A. Nicolosi
Mary A. Nicolosi

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE IN COMPLIANCE WITH 37 C.F.R. §198

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, the Applicants are calling the following to the attention of the Patent Office and request that they be considered by the Examiner:

United States Patent 4,158,098
United States Patent 5,521,309
United States Patent 6,518,214
United States Patent 5,981,639
United States Patent 4,196,154

However, the above-listed references may not be prior art under 35 U.S.C. §102 and this document should not be construed as an admission that any of the above-listed references are prior art within the meaning of 35 U.S.C. §102.

United States Patent 4,158,098, United States Patent 5,521,309, and United States Patent 6,518,214 may be relevant to the prosecution of the subject patent application because they were cited by the Examiner during the prosecution of the parent case to this application

(Serial No. 09/944,664, now issued as United States Patent 6,686,504).

United States Patent 5,981,639 may be relevant to the prosecution of the subject patent application because it discloses that multifunctional initiators used to initiate anionic polymerizations include those prepared by reacting an organomonolithium compound with a multivinylphosphine or with a multivinylsilane, such a reaction preferably being conducted in an inert diluent such as a hydrocarbon or a mixture of a hydrocarbon and a polar organic compound.

United States Patent 4,196,154 may be relevant to the prosecution of the subject patent application because it describes organic liquid soluble multifunctional lithium containing initiators that are prepared by reacting an organo lithium compound with an organic compound containing at least one group of the configuration 1,3-bis(1-phenylethenyl)benzene.

Form PTO-1449 is enclosed herewith.

Respectfully submitted,

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Attorney for Applicant(s)

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO. DN2000-159D01	SERIAL NO. 10/713,122
	APPLICANT (S) Adel Farhan Halasa et al	
	FILING DATE November 14, 2003	GROUP 1714

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub- class	Filing Date if Appropriate
	5,981,639	Nov. 9, 1999	Hsu et al	524	394	
	4,196,154	Apr. 1, 1980	Tung et al	260	665R	
	4,158,098	June, 1979	Trepka, William J.	568	633	
	5,521,309	May, 1996	Antkowiak et al	540	612	
	6,518,214	Feb., 2003	Halasa et al	502	108	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub- Class	Translation YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	[Empty space for other documents]
EXAMINER	DATE CONSIDERED:

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.